



**FRANKLIN
TEMPLETON**

529 College Savings Plan Rollover/Transfer Request Form

529 FROLL 11/22

Use this form to request a rollover or transfer from the following:

- Another Section 529 Program
- Coverdell Education Savings Account (ESA)
- Qualified Series EE or I Savings Bond ("Savings Bond")¹
- Uniform Gifts/Transfers to Minors Act (UGMA/UTMA) Custodial Account
- Other investment to Franklin Templeton 529 College Savings Plan

If you do not currently have a Franklin Templeton 529 College Savings Plan Account, this form MUST be accompanied by a 529 College Savings Plan Account Application.

If completing by hand, please print clearly in CAPITAL LETTERS using blue or black ink.

If applicable, provide any Franklin Templeton case number(s) related to your request: _____

1 ACCOUNT OWNER AND BENEFICIARY

Account Owner first name	M.I.	Last name	Suffix	Account Owner SSN/TIN
_____	_____	_____	_____	_____ _____ _____ _____ _____ _____
Email address ²	Primary phone number		Alternate phone number	
_____	() _____		() _____	
Beneficiary first name	M.I.	Last name	Suffix	Beneficiary SSN/TIN
_____	_____	_____	_____	_____ _____ _____ _____ _____ _____

2 ROLLOVER/TRANSFER INSTRUCTIONS

Net Asset Value Privilege

☐ Check here if a rollover purchase that will be made to this account is eligible for a waiver of the initial sales charge, in accordance with the terms of the *Program Description* and applicable broker-dealer policy.

OPTION A

- ☐ Check here if you are including a check with this form.
- Make checks payable to "FT 529 College Savings Program."
 - We can only accept standard checks, cashier's checks and bank money orders. We do not accept third-party checks.
 - PROCEED DIRECTLY TO SECTION 4 (next page).

OPTION B

- ☐ Check here if you would like Franklin Templeton to contact your current Section 529 Program's Manager or Record-Keeper to initiate a rollover/transfer.
- Contact the Program Manager or Record-Keeper to determine if there are additional requirements for rollover/transfer (example: distribution form).
 - COMPLETE SECTION 3 (below).

3 CURRENT SECTION 529 PROGRAM MANAGER OR RECORD-KEEPER

Name of current Section 529 Program or other investment account Record-Keeper			

Mailing address of Section 529 Program or other investment account Record-Keeper			

City	State	ZIP	Phone number
_____	_____	_____	() _____
Account Owner name, as appears on current Section 529 Program or other eligible ³ investment account			Account Owner SSN/TIN
_____			_____ _____ _____ _____ _____ _____
Beneficiary name, as appears on current Section 529 Program or other eligible ³ investment account			Beneficiary SSN/TIN
_____			_____ _____ _____ _____ _____ _____

☐ 100% Rollover/Transfer/Transfer in Kind (TIK)

Check here to move the entire balance of your account(s) from the current custodian to your Franklin Templeton 529 College Savings Plan Account.

PORTFOLIO INVESTMENT/FUND NAME	ACCOUNT NUMBER
_____	_____
_____	_____
_____	_____

SEE NEXT PAGE FOR ADDITIONAL OPTIONS

1. See the *Program Description* for conditions on tax deferral of Savings Bond Interest.
2. If you currently receive any electronic communications/documents from Franklin Templeton, future communications/documents will be sent to the email address provided on this form, replacing any prior email address on file.
3. Refer to Section 4 (next page) for types of eligible investment accounts.

3 CURRENT SECTION 529 PROGRAM MANAGER OR RECORD-KEEPER (cont'd.)☐ **Partial Rollover/Transfer/Transfer in Kind (TIK)**

Check here to move a portion of your current account(s) and forward proceeds to your Franklin Templeton 529 College Savings Plan Account.

FROM ACCOUNT NUMBER

	\$	OR	%
	\$	OR	%
	\$	OR	%

Instructions for current Trustee/Custodian:

Franklin Templeton 529 College Savings Plan Trust Shares should be transferred in kind. All other securities should be liquidated in accordance with instructions provided in Section 3 and proceeds sent to Franklin Templeton via check.

Note: Account Owner signature, Franklin Templeton acceptance signature and remittance instructions are provided on page 3.

4 ROLLOVER DETAILS

Indicate the source of your rollover or transfer and provide the required documentation as evidence of the breakdown of principal and earnings for the investment you are rolling over. If you do not provide a breakdown of your contribution by investment portion and earnings portion, the entire amount of the contribution may be treated as earnings that may be taxable upon withdrawal.

<input type="checkbox"/> 529 PROGRAM or COVERDELL ESA	<ul style="list-style-type: none">• Provide a copy of an account statement from the current financial institution that shows the breakdown of principal and earnings for the investment that you intend to roll over/transfer to your Franklin Templeton 529 College Savings Plan Account. The earnings portion of amounts distributed from another Section 529 Program or Coverdell ESA must be treated as earnings in the Plan Account.• If some or all of your existing Section 529 Program assets came from an UGMA/UTMA account, indicate the state where the UGMA/UTMA was established: <input type="text"/>
<input type="checkbox"/> UGMA/UTMA ACCOUNTS NOT REGISTERED AS A 529 PLAN	<ul style="list-style-type: none">• Indicate the state where the UGMA/UTMA was established: <input type="text"/>• Transfers from UGMA/UTMA accounts involve special considerations. Please refer to <i>Accounts Established under UTMA or UGMA</i> in the <i>Program Description</i>.
<input type="checkbox"/> SERIES EE OR I SAVINGS BOND	<ul style="list-style-type: none">• Provide a copy of Form 1099-INT or an account statement from the current financial institution that shows the current breakdown of principal and earnings for the investment that you are transferring to your Franklin Templeton 529 College Savings Plan Account. Unless otherwise permitted in connection with interest treated as taxable income in the year of the transfer, any amount of interest accrued on Series EE or I Savings Bonds must be treated as earnings in the Plan Account.
<input type="checkbox"/> OTHER INVESTMENT(S)	<ul style="list-style-type: none">• Indicate the source of your rollover/transfer contribution: <input type="text"/>

5 INVESTMENT INSTRUCTIONS

- Please indicate the percentage or amount of the rollover or transferred funds to be invested into each investment option below.
- If you have not specified a share class or identified a broker-dealer (financial professional) for your Franklin Templeton 529 College Savings Plan Account, your contribution will be invested in Class A Trust shares.
- The Franklin U.S. Government Money 529 Portfolio is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency and it is possible to lose money by investing in this portfolio.
- **You may invest in multiple investment options (\$25 minimum) but please choose only one share class.**

Investment Option	Share Class			Allocation or Percentage	
	A	C	Adv		
Age-Based Asset Allocations					
Franklin Conservative Allocation 529 Portfolio (age-based)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Moderate Allocation 529 Portfolio (age-based)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Growth Allocation 529 Portfolio (age-based)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %

Investment Option	Share Class			Allocation or Percentage	
	A	C	Adv		
Objective-Based Asset Allocations					
Franklin Conservative Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Conservative Growth Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Growth Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Moderate Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Moderate Growth Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %
Franklin Aggressive Growth Allocation 529 Portfolio	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	_____ %

PLEASE SEE NEXT PAGE FOR ADDITIONAL INVESTMENT OPTIONS

5 INVESTMENT INSTRUCTIONS (cont'd.)

Investment Option	Share Class A C Adv	Allocation or Percentage
Individual Portfolios		
Ariel 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
BrandywineGLOBAL Global Opportunities 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
ClearBridge Large Cap Value 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
ClearBridge International Growth 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
ClearBridge Sustainability Leaders 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
Franklin DynaTech 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
Franklin Growth 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %

Investment Option	Share Class A C Adv	Allocation or Percentage
Individual Portfolios (cont'd.)		
Franklin Income 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
Franklin Small-Mid Cap Growth 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
Franklin U.S. Large Cap Index 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
Franklin U.S. Government Money 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
Martin Currie International Sustainable Equity 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
Western Asset Short-Term Bond 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
Western Asset Core Plus Bond 529 Portfolio	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	\$ _____ %
TOTAL (must equal dollar amount of contribution or electronic contribution)		\$ _____ 100%

6 ACCOUNT OWNER SIGNATURE AND CERTIFICATION

- I understand that this plan is offered and administered by the New Jersey Higher Education Student Assistance Authority (HESAA) and managed and distributed by Franklin Distributors, LLC, an affiliate of Franklin Resources, Inc., which operates as Franklin Templeton. No federal or state guarantee. Principal value may be lost and investing in the plan does not guarantee admission to college or sufficient funds for college. Please refer to the *Program Description* for more complete information.
- I understand that digital communication channels are not necessarily secure. If I do choose to send confidential or sensitive information to you via digital communication channels (e.g., email, chat, text messaging, fax), I am accepting the associated risks related to potential lack of security, such as the possibility that my confidential or sensitive information may be intercepted/accessed by a third party and subsequently used or sold.

I hereby certify that I have read the portion of the *Program Description* entitled "Opening, Maintaining and Contributing to an Account—Transfers and Rollovers" and understand the rules and regulations governing rollover distributions from Section 529 Programs. I understand that a distribution from another Section 529 Program will only be treated as a rollover for tax purposes if the investment of the proceeds of such distribution in the Franklin Templeton 529 College Savings Plan is made no later than 60 days after such distribution. Additionally, if I have checked the Section 529 rollover box for amounts distributed to me from another Section 529 Program before they are invested in the Franklin Templeton 529 College Savings Plan, I certify that such distribution occurred no more than 60 days before the date the distribution proceeds are or will be credited to my Franklin Templeton 529 College Savings Plan Account.

X

Signature of Franklin Templeton 529 College Savings Plan Account Owner

Date

SIGNATURE GUARANTEE OR MEDALLION GUARANTEE STAMP

(If required by the current Section 529 Program or other investment account Record-Keeper; not required by Franklin Templeton)

IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT:

☐ Me ☐ My Financial Professional

Financial Professional Firm

Financial Professional name

Financial Professional phone number

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FRANKLIN TEMPLETON 529 COLLEGE SAVINGS PROGRAM ACCEPTANCE (No action is required in this section)

The Franklin Templeton 529 College Savings Plan hereby accepts the rollover/transfer as requested herein and, upon receipt, will deposit the proceeds in an account established on behalf of the Account Beneficiary named herein.

X

Authorized Signature—Franklin Templeton 529 College Savings Program

BEFORE YOU SUBMIT...

TO OPEN A 529 ACCOUNT – DID YOU PROVIDE?

- ☐ A typed application or application handwritten in capital letters using blue or black ink.
- ☐ A Franklin Templeton case number related to your request on page 1 (if you were provided with one).
- ☐ A 529 College Savings Plan Account Application if you do not currently have a Franklin Templeton 529 College Savings Plan Account.

SECTION 1

- ☐ Full first and last name
- ☐ Social Security number/TIN
- ☐ Email address
- ☐ Beneficiary name
- ☐ Beneficiary Social Security number/TIN

SECTION 2

- ☐ Rollover/Transfer instructions

SECTION 3

- ☐ Name of Section 529 Program or other investment account Record-Keeper
- ☐ Street address (include any APT, BLDG, STE number)
- ☐ Rollover/Transfer amounts and account numbers

SECTION 4

- ☐ Source of rollover/transfer

SECTION 5

- ☐ Investment instructions

SECTION 6

- ☐ The signature of the Franklin Templeton 529 College Savings Plan Account Owner and date signed
- ☐ Signature guarantee stamp (if applicable)

MAKE A PHOTOCOPY OF THE COMPLETED FORM FOR YOUR RECORDS

IMPORTANT: IF AN ORIGINAL SIGNATURE GUARANTEE IS REQUIRED YOU MAY NOT EMAIL OR FAX YOUR DOCUMENTS.

EMAIL	FAX	MAIL
<ul style="list-style-type: none">• Emails MUST include an attachment (PDF preferred) of your request and related case number(s) to be accepted.• If you have not been registered on franklintempleton.com for at least 15 calendar days, call (866) 362-1597 to request a case number to reference in your email. <p>Financial professionals: ftrequests@franklintempleton.com</p> <p>Shareholders: shrequests@franklintempleton.com</p>	(855) 891-8377	<p>You may use any of the below mailing addresses:</p> <p>Regular Mail</p> <ul style="list-style-type: none">• Franklin Templeton 529 College Savings Plan P.O. Box 33090 St. Petersburg, FL 33733-8090• Franklin Templeton 529 College Savings Plan P.O. Box 997153 Sacramento, CA 95899-7153 <p>Overnight</p> <ul style="list-style-type: none">• Franklin Templeton 529 College Savings Plan 100 Fountain Parkway N. St. Petersburg, FL 33716-1205• Franklin Templeton 529 College Savings Plan 3344 Quality Drive Rancho Cordova, CA 95670-7313



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