



**FRANKLIN  
TEMPLETON**

529 COLLEGE SAVINGS PLAN<sup>1</sup>

# WORKPLACE 529 PROGRAM BROKER-DEALER REVISION FORM

529 WPBDR 07/21

Use this form if you are converting an existing Franklin Templeton 529 College Savings Plan Account portfolio to a Workplace 529 Program account with a new broker-dealer **OR** the broker-dealer is waiving the initial sales charge for Class A Trust shares on a Workplace 529 Program account. To change the broker-dealer on other Franklin Templeton accounts, use the *Change of Broker-Dealer Form*.

**If completing by hand, please print clearly in CAPITAL LETTERS using blue or black ink.**

## 1 CURRENT ACCOUNT INFORMATION

**CHECK HERE IF THIS IS A NEW ADDRESS.**

Account Owner first name  M.I.  Last name  Suffix  **OR** Name of Custodianship/Trust/Other

Street address of residence (no P.O. Box address)  City  State  ZIP

Mailing address (if different from above)  City  State  ZIP

Primary phone number  ( )  Alternate phone number  ( )  Account Owner SSN/TIN

Email address<sup>2</sup>

### I AUTHORIZE THE CHANGE OF BROKER-DEALER ON:

ALL 529 College Savings Plan portfolios registered under the following SSN/TIN, or broker identification number or asset summary number:

SSN/TIN  Broker identification number  Asset summary number

## 2 EMPLOYER INFORMATION

Employer name  Employer Tax ID

Employer street address  City  State  ZIP

H.R. department contact name  Daytime phone number  ( )

## 3 BROKER-DEALER INFORMATION

For a broker-dealer to be added to a 529 Plan Account, the broker-dealer must have an effective 529 Plan selling addendum or other 529 Plan selling agreement with Franklin Distributors, LLC.

**NOTE:** A change in broker-dealer on an account with an established broker-dealer net asset value (NAV) purchase privilege will result in the immediate termination of those privileges. It is the responsibility of the new broker-dealer and the financial professional to establish and retain this privilege. The financial professional must complete Section 5 of this form to retain the privilege.

New securities dealer name

Main office address  City  State  ZIP

Financial professional first name  M.I.  Last name  Suffix  Telephone number  ( )

Financial professional email  Dealer number  Branch number  Financial professional number

Branch address  City  State  ZIP

1. Offered and administered by the New Jersey Higher Education Student Assistance Authority (HESAA); managed and distributed by Franklin Distributors, LLC, an affiliate of Franklin Resources, Inc., which operates as Franklin Templeton. No federal or state guarantee. Principal value may be lost and investing in the plan does not guarantee admission to college or sufficient funds for college. Please refer to the *Investor Handbook* for more complete information.

2. If you currently receive any electronic communications/documents from Franklin Templeton, future communications/documents will be sent to the email address provided on this form, replacing any prior email address on file.

**4 REQUIRED SIGNATURES****FRANKLIN TEMPLETON 529 COLLEGE SAVINGS PLAN ACCOUNT OWNER'S SIGNATURE ONLY**

The Account Owner named in Section 1 must sign this form.

X \_\_\_\_\_ Date \_\_\_\_\_  
 Franklin Templeton 529 College Savings Plan Account Owner

**AUTHORIZED SIGNER OF BROKER-DEALER ONLY**

The FINRA registered principal of the firm for the securities dealer listed in Section 3 must sign below.

Name (please print) \_\_\_\_\_ Title \_\_\_\_\_ Phone number \_\_\_\_\_  
 ( ) \_\_\_\_\_  
 X \_\_\_\_\_ Date \_\_\_\_\_  
 Authorized signature (Registered Principal for the Securities Dealer)

**5 BROKER-DEALER USE ONLY – OPTIONAL SALES CHARGE WAIVER FOR CLASS A TRUST SHARES**This application for the purchase of Trust shares complies with the terms of our 529 Plan selling agreement or 529 addendum with Franklin Distributors, LLC ("Distributors") and with the current 529 Plan *Investor Handbook* and account application.

We authorize the named applicant to purchase Class A Trust shares of the 529 Plans distributed by Distributors at net asset value (NAV), waiving the initial sales charge. We further certify that the applicant qualifies for such purchases in accordance with the terms of the 529 Plan *Investor Handbook* and applicable broker-dealer policy.

Securities dealer name \_\_\_\_\_  
 Name (please print) \_\_\_\_\_ Title \_\_\_\_\_ Phone number \_\_\_\_\_  
 ( ) \_\_\_\_\_  
 X \_\_\_\_\_ Date \_\_\_\_\_  
 Authorized signature (Registered Principal for the Securities Dealer listed above)

**MAKE A PHOTOCOPY OF THE COMPLETED FORM FOR YOUR RECORDS**

EMAIL	FAX	MAIL
<ul style="list-style-type: none"> <li>• Emails <b>MUST</b> include an attachment (PDF preferred) of your request and related case number(s) to be accepted.</li> <li>• If you have not been registered on franklintempleton.com for at least 15 calendar days, call (866) 362-1597 to request a case number to reference in your email.</li> <li>• Digital communication channels are not necessarily secure. If you do choose to send confidential or sensitive information to us via digital communication channels (e.g. email, chat, text messaging, fax), you are accepting the associated risks related to potential lack of security, such as the possibility that your confidential or sensitive information may be intercepted/accessed by a third party and subsequently used or sold.</li> </ul> <p><b>Financial Professionals:</b> ftrequests@franklintempleton.com  <b>Shareholders:</b> shrequests@franklintempleton.com</p>	(855) 891-8377	<p>You may use any of the below mailing addresses:</p> <p><b>Regular Mail</b></p> <ul style="list-style-type: none"> <li>• Franklin Templeton 529 College Savings Plan P.O. Box 33090 St. Petersburg, FL 33733-8090</li> <li>• Franklin Templeton 529 College Savings Plan P.O. Box 997153 Sacramento, CA 95899-7153</li> </ul> <p><b>Overnight</b></p> <ul style="list-style-type: none"> <li>• Franklin Templeton 529 College Savings Plan 100 Fountain Parkway N. St. Petersburg, FL 33716-1205</li> <li>• Franklin Templeton 529 College Savings Plan 3344 Quality Drive Rancho Cordova, CA 95670-7313</li> </ul>

