



*Franklin Managed Options Strategies, LLC Privacy Notice*  
May 2023

Franklin Managed Options Strategies, LLC ("Franklin MOST," "us," "we"), is a limited liability company. Franklin MOST is a registered investment advisor with the SEC. Franklin MOST is committed to protecting the privacy of personally identifiable information ("PII") of identifiable individuals that use services associated with our business available through our Site (the "Service/s"), or express interest in our business. This Privacy Policy discloses the privacy practices for Franklin MOST including the type of PII collected, how the information is used, and with whom the information is shared.

In order to provide you with Services you may request, it is necessary for us to possess some PII of yours. Similarly, without some of that information, we cannot inform you about the Services we have available or that you may request (though if you are currently receiving marketing communications from us, you may opt-out of receiving further communications at any time). This Privacy Policy explains our PII policies and practices. It includes, but is not limited to, explanations of the types of PII we may collect about you, the purposes for collecting such information, the circumstances under which we may disclose such information to third parties, the measures we take to secure the confidentiality of such information, your rights regarding your PII, and the way to contact us to exercise those rights.

Amendments to this Privacy Policy and/or the Services will be posted to the [Franklin Templeton Managed Options Strategies | Franklin Templeton](#) and will be effective when posted. Your continued use of the Services following the posting of any amendment to the Privacy Policy shall constitute your acceptance of such amendments.

### **Information We Collect from You**

This section explains the PII that may be collected when using our Services depending on your relationship to us, and the other information we may receive from other sources. In this Privacy Policy, the term "PII" refers to the types of information we may collect and use. Some examples are listed below:

- Contact details, such as your name, address, social security number and date of birth;
- Payment and financial information such as your income, assets, investment preferences, etc.;
- Comments, feedback and communication preferences (whether that is electronic, telephone, written or in person)

- Usernames, passwords, and account information such as purchases, sales, inquiries, etc.; and
- Information to process transactions or to conduct online transactions

### **Information from Third Parties**

If needed, we may obtain information from third parties to evaluate your application or verify your identity, including background check information, or information from other companies about contracts or accounts transferred to us.

### **Restricted Use Regarding Minors**

These services are not intended or designed to be used by children under the age of 18. We do not directly collect PII from any person we know to be under the age of 18. We receive limited personal information about children under the age of 18 from parents/guardians and other responsible adults only as required to establish and maintain accounts for the child's benefit. Further, we do not sell the personal information of minors, nor do we direct targeted advertising to minors.

### **Purposes for Collecting Your PII**

You may provide your PII to Franklin MOST in order to fulfill a Service. You are then entering into a business relationship with Franklin MOST and are agreeing to the use of that data by us as stated in this Privacy policy. We request PII for a variety of purposes throughout your interaction with us, some of which are listed below:

- Contacting you or your designated representative by phone, email, fax, or mail;
- Providing you or your representative with marketing communications;
- Creating and managing your account with us;
- Sharing information about our Services including investment research;
- Facilitating financial transactions related to your account;
- Fulfilling our legal and regulatory obligations;
- The performance of obligations under any applicable agreements;
- The administrative processes (and related communications) carried out by us in preparing our services;
- Ongoing communication with you and/or your designated contacts;
- The ongoing administrative, reporting and other processes and communications required to operate the business in accordance with our client agreements and other related documentation; or
- Any other purpose of which you have been notified, or has been agreed, in writing.

### **Data Storage and Retention**

Your PII will be retained only for as long as the information is needed to fulfill the purposes for which it was collected and processed. We reserve the right to retain and use your PII for as long as necessary to comply with our legal obligations and business requirements and/or to resolve ongoing disputes and enforce our agreements.

## **Sharing Your PII – What Information We Disclose**

We do not disclose your nonpublic personal information except: (i) in furtherance of our business relationship with certain providers necessary to affect transactions and services (broker-dealers, custodians, money managers, etc.); (ii) regulatory bodies; () service providers working on our behalf; or (iii) as otherwise provided by law. Additionally, we will ensure that any outside companies working on our behalf, or with whom we have joint marketing agreements, are under contractual obligations to protect the confidentiality of your information, and to use it only to provide the services we asked them to perform.

## **Opting Out of Third-Party Disclosures**

If you prefer that Franklin Most not disclose the nonpublic personal information about you to non-affiliated third parties, you may use one of the following methods to opt out of targeted advertising:

Visit the Cookie Preference Center and disable tracking cookies.

<https://www.franklintempleton.com/#>

Enable <https://globalprivacycontrol.org/> in your browser. When enabled in your web browser, the GPC automatically opts you out of tracking and social media cookies upon visiting our website. (Our website does not respond to "Do Not Track" signals. If you wish to use this option, please consider using the Global Privacy Control.)

Visit <https://www.franklintempleton.com/> to opt out of the selling or sharing of your personal information by clicking on the link at the bottom of the page.

## **Safeguarding of Your Information**

We maintain physical, electronic, and procedural security measures that comply with applicable legal and regulatory standards to safeguard your PII. We restrict access to your nonpublic personal information to those employees servicing your account. We train our employees to keep your information safe and confidential. We follow generally accepted standards to protect the PII you submit to us, whether that information is in transit or at rest. No method of transmission over the Internet, or method of electronic storage, is 100% secure. Therefore, we cannot guarantee its absolute security.

## **Non-Discrimination for Exercising Your PII Rights**

We will not discriminate against any consumer who exercises their rights set forth in this privacy policy.

## **Verifying Your Identity**

If you choose to contact us with a request, you will need to provide us with identifying information that matches the PII we currently have about you.

## **Authorized Agent**

You have the right to appoint an authorized agent to exercise your rights on your behalf.

To exercise an applicable privacy right, use one of the methods listed below. To protect the security and privacy of your personal information, we may need to verify your identity, and the identity of your authorized agent if the request is submitted by someone other than you, against the information we have on file for you before we can fulfill your request. This is to ensure that your personal information is not disclosed to any person who does not have authority to receive it. We may also request further information in relation to your request to help us locate your personal information, including, for example, the nature of your relationship with Franklin Templeton.

- Complete the [U.S. Privacy Rights Request Form](#) and email or mail it to the address noted in the form.
- Call 888-865-7268 to speak to a service associate and request to exercise your privacy rights

## **How to Contact Us**

We may update this privacy notice periodically and any new version will be posted on [Franklin Templeton Managed Options Strategies | Franklin Templeton](#). We recommend you review this notice regularly to ensure that you are aware of our current privacy practices. If you would like further information regarding the collection or use of your personal information, please email us at [DataProtectionOfficer@franklintempleton.com](mailto:DataProtectionOfficer@franklintempleton.com)

Phone number: 888-865-7268